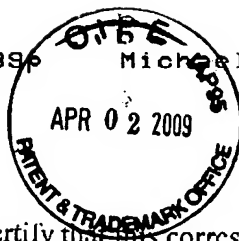


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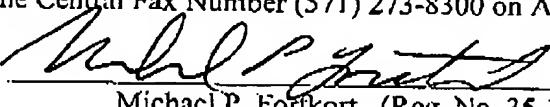
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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Steven M.H. Wallman

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TITLE: METHOD AND APPARATUS FOR PORTFOLIO TRADING USING MARGIN

ATTY DOCKET: 1061/6

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ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

AMENDMENT AND RESPONSE

In response to the Office Action mailed January 2, 2009 the Applicant hereby submits the following amendments and remarks in the above-identified application.